



General Assembly

January Session, 2003

Raised Bill No. 6368

LCO No. 1730

Referred to Committee on Government Administration and
Elections

Introduced by:
(GAE)

***AN ACT INCREASING THE STATUTORY THRESHOLDS UNDER THE
STATE CODES OF ETHICS FOR FILING CERTAIN REPORTS AND
FOR LOBBYISTS' REGISTRATIONS.***

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

1 Section 1. Subsection (b) of section 1-83 of the general statutes is
2 repealed and the following is substituted in lieu thereof (*Effective*
3 *October 1, 2003*):

4 (b) (1) The statement of financial interests, except as provided in
5 subdivision (2) of this subsection, shall include the following
6 information for the preceding calendar year in regard to the individual
7 required to file the statement and the individual's spouse and
8 dependent children residing in the individual's household: (A) The
9 names of all businesses with which associated; (B) the category or type
10 of all sources of income in excess of [one] two thousand dollars,
11 without specifying amounts of income; (C) the name of securities in
12 excess of [five] ten thousand dollars at fair market value owned by
13 such individual, spouse or dependent children or held in the name of a
14 corporation, partnership or trust for the benefit of such individual,

15 spouse or dependent children; (D) the existence of any known blind
16 trust and the names of the trustees; (E) all real property and its
17 location, whether owned by such individual, spouse or dependent
18 children or held in the name of a corporation, partnership or trust for
19 the benefit of such individual, spouse or dependent children; (F) the
20 names and addresses of creditors to whom the individual, the
21 individual's spouse or dependent children, individually, owed debts of
22 more than ten thousand dollars; and (G) any leases or contracts with
23 the state held or entered into by the individual or a business with
24 which he or she was associated. (2) The statement of financial interests
25 filed by state marshals shall include only amounts and sources of
26 income earned in their capacity as state marshals.

27 Sec. 2. Section 1-94 of the general statutes is repealed and the
28 following is substituted in lieu thereof (*Effective October 1, 2003*):

29 A lobbyist shall register with the commission pursuant to this part if
30 [it or he] the lobbyist:

31 (1) Receives or agrees to receive compensation or reimbursement for
32 actual expenses, or both, in a combined amount of [two] five thousand
33 dollars or more in a calendar year for lobbying, whether that receipt of
34 compensation or reimbursement or agreement to receive such
35 compensation or reimbursement is solely for lobbying or the lobbying
36 is incidental to that person's regular employment; or

37 (2) Makes or incurs an obligation to make expenditures of [two] five
38 thousand dollars or more in a calendar year for lobbying.

39 Sec. 3. Subsection (a) of section 1-96 of the general statutes is
40 repealed and the following is substituted in lieu thereof (*Effective*
41 *October 1, 2003*):

42 (a) Each client lobbyist registrant shall file with the commission
43 between the first and tenth day of April, July and January a financial
44 report, signed under penalty of false statement. The April and July

45 reports shall cover its lobbying activities during the previous calendar
 46 quarter and the January report shall cover its lobbying activities
 47 during the previous two calendar quarters. In addition to such reports,
 48 each client lobbyist registrant which attempts to influence legislative
 49 action shall file, under penalty of false statement, interim monthly
 50 reports of its lobbying activities for each month the General Assembly
 51 is in regular session, except that no monthly report shall be required
 52 for any month in which it neither expends nor agrees to expend [one]
 53 five hundred dollars or more in furtherance of lobbying. Such interim
 54 monthly reports shall be filed with the commission no later than the
 55 tenth day of the month following the last day of the month reported. If
 56 the client lobbyist registrant is not an individual, an authorized officer
 57 or agent of the client lobbyist registrant shall sign the form. A
 58 communicator lobbyist for a municipality or any subdivision of a
 59 municipality, a branch of state government or any subdivision of state
 60 government or a quasi-public agency shall file the reports described in
 61 this subsection utilizing the client lobbyist reporting schedule.

This act shall take effect as follows:	
Section 1	<i>October 1, 2003</i>
Sec. 2	<i>October 1, 2003</i>
Sec. 3	<i>October 1, 2003</i>

Statement of Purpose:

To reduce the quantity of filings and registrations with the State Ethics Commission by providing that: (1) Statements of financial interests for public officials shall be filed for sources of income in excess of two thousand dollars, rather than one thousand dollars as currently required; (2) such statements include the name of securities held in excess of ten thousand dollars, rather than five thousand dollars as currently required; (3) lobbyists register with the commission if their compensation and reimbursement exceeds five thousand dollars, or if they make or incur an obligation to make expenditures for five thousand dollars or more, rather than two thousand dollars as is currently required; and (4) no monthly report shall be required for a client lobbyist registrant in any month where the lobbyist neither

expends nor agrees to expend five hundred dollars or more, rather than one hundred dollars or more as is currently required.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]